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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/597,752

08/07/2006

Horst Greiner

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09/29/2009

PHILIPS INTELLECTUAL PROPERTY & STANDARDS

P.O. BOX 3001

BRIARCLIFF MANOR, NY 10510

EXAMINER

GRAMLING, SEAN P

ART UNIT

PAPER NUMBER

2875

MAIL DATE

DELIVERY MODE

09/29/2009

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/597,752	Applicant(s) GREINER, HORST	
	Examiner SEAN P. GRAMLING	Art Unit 2875	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 15 September 2009.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-12 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-12 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 9/15/09 has been entered. Claim 1 is amended. Claims 11 and 12 are new. Claims 1-12 are pending.

Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

3. **Claims 1 and 4-12** are rejected under 35 U.S.C. 102 (b) as being anticipated by *Koike et al* (US 6,345,903).

4. Regarding claim 1, Koike discloses a luminous body comprising a housing (collectively 12 and 27, see Figure 8) with a light emission surface (top surface of element 27) and a plurality of light sources 15 arranged in the housing, wherein the housing comprises at least a first optical medium 27 with a first optical scattering power into which light of the light sources is coupled; and a plurality of second optical medium

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elements 25 with a second optical scattering power disposed in the housing, wherein each of the second optical medium elements comprises a plurality of particles, and each of the second medium elements is disposed over a respective one of the light sources 15 (see Figure 2-3 and 8 and column 4, line 53 through column 6, line 13).

5. Regarding claim 4, the second optical medium 25 in Koike is introduced into a region between at least one light source 15 and the light emission surface (see Figures 3 and 8).

6. Regarding claim 5, the first optical medium 25 in Koike is an optical waveguide plate and the light sources 15 are arranged in at least one cavity of the optical waveguide plate (see Figures 3 and 8).

7. Regarding claim 6, the scattering power of the second optical medium 25 in Koike is such that it compensates at least substantially for the reduction in the flow of the light in the first optical medium 27 (see Figure 3 and column 4, line 53 through column 6, line 13).

8. Regarding claim 7, the second optical medium 25 in Koike is introduced into at least one region between at least one cavity and the light emission surface (see Figure 3).

9. Regarding claim 8, the second optical medium 25 in Koike comprises light-scattering particles (see Figure 3 and column 5, lines 39-67).

10. Regarding claim 10, this limitation relates to formation of the light-scattering particles, and it has been held that the method of forming the device is not germane to

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the issue of patentability of the device itself. Accordingly, this limitation is given no patentable weight.

11. Regarding claim 11, the light propagating in each of the second optical medium elements 25 is at least substantially coupled thereinto from the first optical medium 27 (see Figure 3).

12. Regarding claim 12, the scattering power of at least one of the media 27 or 25 influences the flow of light in the housing and a predefinable brightness distribution of light over the light emission surface is achieved (see Figure 3 and column 4, line 53 through column 6, line 13).

Claim Rejections - 35 USC § 103

13. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

14. **Claims 2 and 3** are rejected under 35 U.S.C. 103(a) as being unpatentable over *Koike et al* (US 6,345,903) and *Kawano et al* (US 6,404,131).

15. Regarding claims 2 and 3, Koike does not specifically teach a layer that reflects on both sides which screens of at least substantially the direct incidence of light from light source 15 to the second optical medium 25. However, Kawano specifically teaches a layer 10 that reflects on both sides which screens of direct incidence of light from a light source 5 to an optical medium (see Kawano, Figures 2 and 5). Accordingly,

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it would have been obvious to one of ordinary skill in the art at the time the invention was made to position a reflective layer between the second optical medium 25 and light source 15 in order to direct the light laterally through the first optical member 27 in order to more uniformly distribute light along the emission surface.

Response to Arguments

16. Applicant's arguments filed 9/15/09 with respect to the rejections in the previous Office Action have been considered but are moot in view of the new ground of rejection.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to SEAN P. GRAMLING whose telephone number is (571)272-9082. The examiner can normally be reached on MONDAY-FRIDAY 7:30 AM-5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Sandra O'Shea can be reached on (571) 272-2378. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Sean P Gramling
Examiner
Art Unit 2875

/SPG/
/Sharon E. Payne/
Primary Examiner, Art Unit 2875